



EXPRESS MAIL MAILING LABEL NO. EV219066463US

PATENT
Attorney Docket No. SRT-006CP
(5049/7)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Horvath et al. CONFIRMATION NO.: 4371
SERIAL NO.: 09/819,328 GROUP NO.: 2131
FILING DATE: March 28, 2001 EXAMINER: Moorthy, Aravind K.
TITLE: Dynamically Extendible Firewall

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Fourth Supplemental Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications (A56-A80; C4-C11) are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Fourth Supplemental Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

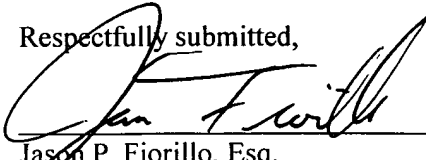
- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: November 9, 2004
Reg. No. 52,892

Tel. No.: (617) 310-8471
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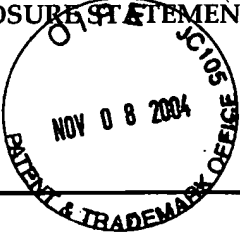
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Respectfully submitted,

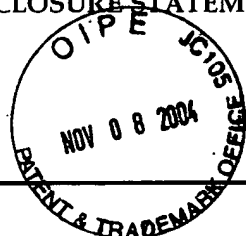

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SHEET 1 OF 2

FOURTH SUPPLEMENTAL FORM PTO - 1449 FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				ATTORNEY DOCKET NO.: SRT-006CP APPLICANTS: Horvath et al. SERIAL NO.: 09/819,328 FILING DATE: March 28, 2001 GROUP: 2131			
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U.S. PATENT DOCUMENTS							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A56	5,852,722	12/22/98	Hamilton	395	200.51	12/8/97
	A57	5,884,025	3/16/99	Baehr et al.	395	187.01	2/4/97
	A58	6,003,084	12/14/99	Green et al.	709	227	9/13/96
	A59	6,052,718	4/18/00	Gifford	709	219	1/7/97
	A60	6,119,161	9/12/00	Lita et al.	709	227	2/28/97
	A61	6,141,699	10/31/00	Luzzi et al.	709	331	5/11/98
	A62	6,154,777	11/28/00	Ebrahim	709	227	7/1/96
	A63	6,198,824	3/6/01	Shambroom	380	279	5/11/99
	A64	6,199,180	3/6/01	Ote et al.	714	31	12/30/99
	A65	6,202,156	3/13/01	Kalajan	713	201	9/12/97
	A66	6,249,836	1/19/01	Downs et al.	710	268	5/18/99
	A67	6,304,913	10/16/01	Rune	709	241	11/9/98
	A68	6,321,267	11/20/01	Donaldson	709	229	11/23/99
	A69	6,453,419	9/17/02	Flint et al.	713	201	3/18/98
	A70	6,463,474	10/8/02	Fuh et al.	709	225	7/2/99
	A71	6,475,819	11/5/02	Franz	438	34	12/29/00
	A72	6,487,600	11/26/02	Lynch	709	229	8/9/99
	A73	6,505,254	1/7/03	Johnson et al.	709	239	4/19/99
	A74	6,539,431	3/25/03	Sitaraman et al.	709	226	8/10/99
	A75	6,550,012	4/15/03	Villa et al.	713	201	6/8/99
	A76	6,557,037	4/29/03	Provino	709	227	5/29/98
	A77	6,570,875	5/27/03	Hegde	370	389	10/13/98
	A78	6,684,253	1/27/04	Whitaker et al.	709	229	11/18/99
	A79	6,697,836	2/24/04	Kawano et al.	709	202	9/16/98
	A80	6,754,707	6/22/04	Richards et al.	709	227	10/28/99
EXAMINER				DATE CONSIDERED			

FOURTH SUPPLEMENTAL FORM PTO - 1449 FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				ATTORNEY DOCKET NO.: SRT-006CP APPLICANTS: Horvath et al. SERIAL NO.: 09/819,328 FILING DATE: March 28, 2001 GROUP: 2131					
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	C4	Choudhary, Anita, Office Action, U.S. Patent Application No. 09/549,508, mailed on June 8, 2004, 12 pgs.							
	C5	Moorthy, Aravind K, Office Action, U.S. Patent Application No. 09/550,230, mailed on October 27, 2003, 12 pgs.							
	C6	Pollack, Melvin H., Office Action, U.S. Patent Application No. 09/550,243, mailed on April 7, 2003, 11 pgs.							
	C7	Pollack, Melvin H., Office Action, U.S. Patent Application No. 09/550,243, mailed on November 25, 2003, 10 pgs.							
	C8	Pollack, Melvin H., Office Action, U.S. Patent Application No. 09/550,243, mailed on July 12, 2004, 11 pgs.							
	C9	Winters, Mareisha N., Office Action, U.S. Patent Application No. 09/549,508, mailed on January 16, 2003, 13 pgs.							
	C10	Winters, Mareisha N., Office Action, U.S. Patent Application No. 09/549,508, mailed on July 16, 2003, 12 pgs.							
	C11	Winters, Mareisha N., Office Action, U.S. Patent Application No. 09/549,508, mailed on October 8, 2003, 9 pgs.							
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